Medical Policy



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Joint Medical Policies are a source for BCBSM and BCN medical policy information only. These documents are not to be used to determine benefits or reimbursement. Please reference the appropriate certificate or contract for benefit information. This policy may be updated and is therefore subject to change.

*Current Policy Effective Date: 1/1/25 (See policy history boxes for previous effective dates)

Title: Dialectical Behavior Therapy

Description/Background

Dialectical behavior therapy provides a safe space, with a trained professional, for individuals to discuss intense emotions. Although it is based on cognitive behavioral therapy, it is specially adapted for people who have difficulty managing and regulating their emotions. Skills are taught in mindfulness, distress tolerance, interpersonal effectiveness and emotion regulation. Through reflection and guidance, DBT helps the subject understand who they are while focusing on recognizing unhealthy patterns of thoughts and behaviors. Once the challenges are identified, participants can explore solutions for positive life changes. The 6 main points of DBT are to develop skills related to (1) accepting circumstances and making changes, (2) analyzing behaviors and learning healthier patterns of responding, (3) changing unhelpful, maladaptive, or negative thoughts, (4) developing collaboration skills, (5) learning new skills, and (6) receiving support.

DBT therapy sessions have the goal to help keep individuals safe by reducing suicidal and self-harming behaviors, if applicable; limit behaviors that get in the way of productive therapy; help individuals reach their goals and improve their quality of life by addressing what's blocking their progress, such as mental health conditions or relationship issues; and/or to help subjects learn new skills to replace unhelpful behaviors.

DBT was initially designed to treat chronic suicidality in people with borderline personality disorder (BPD). However, it has since been adapted to treat various other mental health conditions, such as depression, eating disorders, self-harming behaviors, and substance use disorders. According to guidelines published by the American Psychiatric Association (APA), DBT consists of approximately 1 year of workbook (manual-guided therapy), which includes 1 hour of weekly individual therapy for 1 year and 2.5 hours of group skills training per week for 6 to 12 months. There is also a requirement for all therapists to meet weekly for team consultation (APA, 2001).

Clients in standard DBT treatment receive 3 main modes of treatment: individual therapy, skills group and phone coaching. In individual therapy, clients receive weekly individual sessions that are typically an hour to an hour-and-a half in length. Clients also must attend a 2-hour weekly skills group for at least 1 year. Unlike regular group psychotherapy, these skills groups emerge as classes during which clients learn the 4 sets of important skills – mindfulness, interpersonal effectiveness, emotion regulation and distress tolerance. Clients are also asked to call their individual therapists for skills coaching *prior* to hurting themselves. The therapist then guides them through alternatives to self-harm or suicidal behaviors.

These are a group of disorders that are linked by varying difficulties in controlling aggressive behaviors, self-control, and impulses. Typically, the resulting behaviors or actions are considered a threat primarily to others safety and/or to societal norms. Some examples of these issues include fighting, destroying property, defiance, stealing, lying, and rule breaking. Problematic behaviors and issues with self-control associated with these disorders are typically first observed in childhood and can persist into adulthood. However, disruptive, impulse, and conduct disorders involve a pattern of much more severe and longer-lasting behaviors than what is developmentally appropriate. In general, disruptive, impulse-control, and conduct disorders tend to be more common in males, with the exception of kleptomania.

Medical Policy Statement

Dialectical behavior therapy (DBT) has been established in individuals with borderline personality disorder. It may be a useful therapeutic option for the treatment of individuals who meet DSM criteria.

Dialectical behavior therapy (DBT) has been established in individuals with impulse control disorders. DBT may be a useful therapeutic option for the treatment of individuals who meet the DSM criteria.

Inclusionary and Exclusionary Guidelines

Inclusions:

Dialectical behavior therapy is established when <u>ALL</u> of the following solid bullets are met:

- ONE of the following must be met:
 - Individuals with a primary diagnosis of borderline personality disorder documented by mental health treatment provider(s) in the preceding 2 years when:
 - Severe behaviors and symptoms, due to borderline personality disorder, occurring over the previous 6 months (e.g., self-injury, chronic suicidal ideation, suicide attempts or other para-suicidal behavior)
 - Individuals who demonstrate impulse control disorders^a that leads to bad decision making or self-destructive behaviors when:
 - The medical record documents the behaviors and supports the use of DBT
- ALL of the following must be met:

- An initial assessment completed by the DBT provider should show that the member is in the contemplation or action phase of readiness to change and can commit to the DBT treatment plan.
- Treatment must be provided by a participating provider who has, to the satisfaction of BCBSM/BCN, completed intensive training in DBT. DBT treatment is limited to individual and group psychotherapy (also known as skills group) treatment.
- ONE of the following criteria must be met:
 - o Repeated attempts and failures in traditional outpatient mental health treatment.
 - At least 2 inpatient or partial hospitalizations for psychiatric symptoms in the preceding 12 months.

Exclusions:

- DBT for any other primary indication, including but not limited to:
 - Obsessive-compulsive disorder
 - People seeking support therapy/maintenance sessions
- DBT of greater than 1 years duration
- DBT for borderline personality disorder in the absence of severe behaviors and symptoms due to borderline personality disorder, occurring over the previous 6 months (e.g., selfinjury, chronic suicidal ideation, suicide attempts or other para-suicidal behavior)

CPT/HCPCS Level II Codes (Note: The inclusion of a code in this list is not a guarantee of coverage. Please refer to the medical policy statement to determine the status of a given procedure.)

Established codes:

| 90785 | 90832 | 90833 | 90834 | 90836 | 90837 |
|-------|-------|-------|-------|-------|-------|
| 90838 | 90846 | 90847 | 90853 | 90899 | |

Other codes (investigational, not medically necessary, etc.):

N/A

Rationale

Dialectical behavior therapy (DBT) is defined by the Substance Abuse and Mental Health Services Administration (SAMHSA) as "a cognitive-behavioral treatment approach with 2 key characteristics: a behavioral, problem-solving focus blended with acceptance-based strategies and an emphasis on dialectical processes." The therapy was originally developed for the treatment of individuals with borderline personality disorder who exhibited self-harm behaviors. Later, it was proposed as a treatment for other indications, including depression, post-traumatic stress disorder, substance abuse and eating disorders.

Criteria for borderline personality disorder (DSM-5 code 301.83, F60.3) is defined in *Diagnostic* and Statistical Manual of Mental Disorders, Fifth edition, (DSM-5) as:

^a Impulse control disorders may be seen with primary indications such as: depression, bipolar disorders, eating disorders, and trauma-related disorders.

"A pervasive pattern of instability of interpersonal relationships, self-image, and affects and marked impulsivity beginning by early adulthood and present in a variety of contexts, as indicated by 5 (or more) of the following:

- Frantic efforts to avoid real or imagined abandonment (Note: Do not include suicidal or self-mutilating behavior covered in Criterion 5.)
- A pattern of unstable and intense interpersonal relationships characterized by alternating between extremes of idealization and devaluation.
- Identity disturbance: markedly and persistently unstable self-image or sense of self
- Impulsivity in at least 2 areas that are potentially self-damaging (e.g., spending, sex, substance abuse, reckless driving, binge eating). (Note: Do not include suicidal or selfmutilating behavior covered in Criterion 5.)
- Recurrent suicidal behavior, gestures and/or threats or self-mutilating behavior.
- Affective instability due to a marked reactivity of mood (e.g., intense episodic dysphoria, irritability, or anxiety usually lasting a few hours and only rarely more than a few days).
- Chronic feelings of emptiness.
- Inappropriate, intense anger or difficulty controlling anger (e.g., frequent displays of temper, constant anger, recurrent physical fights).
- Transient, stress-related paranoid ideation or severe dissociative symptoms excluding suicidal or self-mutilating behavior.

DBT serves 5 functions (SAMHSA, 2006):

- Capability enhancement (skills training)
- Motivational enhancement (individual behavioral treatment plans)
- Generalization (access to therapist outside clinical setting, homework and inclusion of family in treatment)
- Structuring of the environment (programmatic emphasis on reinforcement of adaptive behaviors)
- Capability and motivational enhancement of therapists (therapist team consultation group)

Skills-training is taught in a series of 4 modules:

- Mindfulness
- Distress tolerance
- Emotional regulation
- Interpersonal effectiveness

Bipolar Disorder

According to practice guidelines published by the American Psychiatric Association (APA, 2001) for treatment of individuals with bipolar disorder, DBT has been shown in randomized controlled trials to have clinical efficacy. However, the guidelines note that substantial improvement may not occur until after approximately 1 year of treatment, and some individuals may require treatment of a longer duration to achieve outcomes. In 2005, the APA published a guideline watch, which provided continued support of the recommendations in the 2001 guideline.

In a Cochrane review by Binks et al (2006) the effects of psychological interventions for individuals with borderline personality disorder were evaluated. DBT was found to offer a small benefit over treatment as usual in preventing individuals from engaging in self-harm behaviors. Although this finding was consistent across studies, in small trials, it was not always

statistically significant. In 1 larger study, however, this finding did reach statistical significance at 12 months. Additional findings were that individuals participating in DBT reported less hopelessness and anxiety and DBT may also help to keep people in treatment, thus reducing the rate of drop-out. The authors noted that "DBT seemed to be helpful on a wide range of outcomes, such as admission to hospital or incarceration in prison, but the small size of included studies limit confidence in their results."

Kliem et al (2010) reported on the results of a meta-analysis examining the efficacy and long-term effectiveness of DBT for individuals with borderline personality disorder. Included in the analysis were 8 randomized controlled studies, 1 non-randomized controlled study and 7 non-randomized, non-controlled studies. Moderators were used to control for potential confounding factors. Global effect sizes, from pre- to post-treatment interventions, were calculated based upon 8 randomized controlled trials and 8 non-randomized, non-controlled studies. A moderate global effect and moderate effect size was found for suicidal and self-injurious behaviors. The authors noted that the findings of this meta-analytic review support the efficacy of DBT for borderline personality disorder. However, a significant difference in the rate of treatment dropout for DBT versus the control condition was not found. At follow-up, a decrease in the moderated global effect of DBT was also observed. The authors concluded that additional research is needed to assist individuals with applying the skills learned in treatment to daily life.

Adrian et al (2019) compared dialectical behavior therapy with individual/group supportive therapy (IGST) in borderline personality adolescents with extensive self-harm histories. One hundred seventy-three adolescents were included in the intent-to-treat sample and randomized to receive 6 months of DBT or IGST. Potential baseline predictors and moderators were identified within 4 categories: demographics, severity markers, parental psychopathology, and psychosocial variables. Primary outcomes were suicide attempts and non-suicidal self-injury evaluated at baseline, mid-treatment (3 months), and end of treatment (6 months) via the Suicide Attempt and Self-Injury Interview (Psychological Assessment, 18, 2006, 303). DBT was associated with better rates of improvement compared to IGST for adolescents with higher baseline emotion dysregulation and those whose parents reported greater psychopathology and emotion dysregulation. Authors concluded that adolescents who have high levels of family conflict, externalizing problems, and increased level of severity markers demonstrated the most change in self-harm behaviors over the course of treatment and benefit from both treatment interventions. Those with higher levels of emotion dysregulation and parent psychopathology may benefit more from the DBT.

Walton et al (2020) reported on the outcomes of a randomized trial comparing dialectical behavior therapy and conversational model for treatment of borderline personality disorder in a routine clinical setting. Participants had a diagnosis of borderline personality disorder and a minimum of 3 suicidal and/or non-suicidal self-injurious episodes in the previous 12 months. Consenting individuals were randomized to either dialectical behavior therapy or conversational model and contracted for 14 months of treatment (n = 162 commenced therapy). Dialectical behavior therapy involved participants attending weekly individual therapy, weekly group skills training and having access to after-hours phone coaching. Conversational model involved twice weekly individual therapy. Assessments occurred at baseline, midtreatment (7 months) and post-treatment (14 months). Assessments were conducted by a research assistant blind to treatment condition. Primary outcomes were change in suicidal and non-suicidal self-injurious episodes and severity of depression. We hypothesized that

dialectical behavior therapy would be more effective in reducing suicidal and non-suicidal self-injurious behavior and that conversational model would be more effective in reducing depression. Both treatments showed significant improvement over time across the 14 months duration of therapy in suicidal and non-suicidal self-injury and depression scores. There were no significant differences between treatment models in reduction of suicidal and non-suicidal self-injury. However, dialectical behavior therapy was associated with significantly greater reductions in depression scores compared to conversational model. Authors concluded that this research adds to the accumulating body of knowledge of psychotherapeutic treatment of borderline personality disorder and supports the use of both dialectical behavior therapy and conversational model as effective treatments in routine clinical settings, with some additional benefits for dialectical behavior therapy for persons with co-morbid depression.

Eating Disorders

Based upon positive outcomes observed with borderline personality disorder, there has been interest in the use of DBT for the treatment of eating disorders. More specifically, DBT has been proposed as a viable treatment for binge-eating behaviors with the emphasis directed towards teaching the individual skills to regulate his/her mood thus reducing the need to binge eat.

The APA published practice guidelines in 2006 for the treatment of individuals with eating disorders indicating that DBT may be a possible treatment alternative to address behavioral and psychological symptoms associated with binge eating.

Telch et al (2001) reported on the results of a small randomized controlled trial (n=44) evaluating dialectical behavior therapy adapted for binge eating disorder versus a wait-list control condition. Compared to the wait-list control group, individuals in the DBT group exhibited significant improvement with respect to binge eating. Eighty-nine percent of individuals in the DBT group had stopped binge eating for at least 4 weeks prior to the end of treatment compared to 12.5 percent of individuals in the wait-list control group. However, at 6 months, abstinence from binge eating in the DBT group was reduced to 56 percent. Furthermore, there were no significant differences found between the groups on measures of weight, mood and affect regulation.

Lenz et al (2014) reported on a meta-analysis evaluating the effectiveness of DBT in individuals with eating disorders and comorbid depression. Large effect sizes were found in both between-groups and single-group study samples suggesting that DBT may be helpful to decrease the frequency of eating disorder episodes in this population. Furthermore, medium to large effect sizes were observed in both between-groups and single-group study samples suggesting that DBT was effective in decreasing the severity of depressive symptoms in individuals with an eating disorder. The authors noted that while these findings are encouraging, this analysis consisted of only 9 studies. However, when synthesizing the data for this analysis, variable and smaller sample sizes were accounted for through weighting and inverse variance procedures. There are a limited number of available studies that confirm the effectiveness of DBT protocols for the treatment of eating disorders.

Intellectual Disabilities

McNair et al (2016) reported on a systematic review examining the evidence for DBT in subjects with intellectual disabilities. Seven studies were included in the review and reported adaptations and outcomes of DBT for people with intellectual and development disabilities.

Four studies had full DBT programs and 3 had DBT skills groups. The reviewers concluded that DBT and DBT skills groups can be adapted for individuals with intellectual disabilities however, further high-quality studies are needed to determine the effectiveness of DBT for this clinical indication.

Impulse Control Disorders

Yeo et al (2020) evaluated DBT therapy in 101 ethnic minority adolescents who displayed difficulties in coping with stress and regulating emotions. Relations between self-regulation and self-harm among ethnic minority adolescents were examined with an emphasis on the ability to self-regulate after completion of DBT. Of the initial sample, 51 adolescents (Mage = 14.73; female = 80.4%) entered a 20-week DBT-A program due to self-harm and/or Borderline Personality features. In a pretreatment sample, the frequency of dysfunctional coping, but not of adaptive coping, differentiated self-injurers from non-self-injurers. Full information maximum likelihood estimation was used to address high attrition (60.8%) from DBT. Those who completed DBT (n = 20) reported significantly improved emotion regulation. Authors concluded that 20-week DBT may help improve emotion regulation.

Berk et al (2019) examined the feasibility and effectiveness of Dialectical Behavior Therapy (DBT) with suicidal and self-harming adolescents treated in a community clinic. A group of 24 adolescents at high risk for suicide were enrolled in 6 months of comprehensive DBT, provided by therapists and trainees at a county-run outpatient mental health clinic serving disadvantaged, ethnic minority clients. Results showed significant pre/post-treatment decreases in suicide attempts, non-suicidal self-injury behaviors (NSSI), and suicidal ideation. Results also showed significant decreases in other suicide risk factors, including emotion dysregulation, depression, impulsivity, BPD symptoms, psychopathology, PTSD symptoms, and substance use, as well as increases in family expressiveness and reasons for living using dialectical behavior therapy for impulse control disorders.

Summary

The effectiveness of DBT for the treatment of borderline personality disorder has been demonstrated in multiple studies. Studies have begun to emerge which support the use of DBT for the treatment of impulse control issues. There are several small studies in the medical literature evaluating DBT for other indications, including, but not limited to such as depressive disorders, eating disorders, and trauma-related disorders. The evidence is insufficient to determine the efficacy of DBT for indications other than bipolar and impulse control disorders.

Government Regulations National/ Local:

There is no national or local coverage determination for dialectical behavior therapy.

(The above Medicare information is current as of the review date for this policy. However, the coverage issues and policies maintained by the Centers for Medicare & Medicare Services [CMS, formerly HCFA] are updated and/or revised periodically. Therefore, the most current CMS information may not be contained in this document. For the most current information, the reader should contact an official Medicare source.)

Related Policies

References

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- 2. American Psychiatric Association, Diagnostic and statistical manual of mental disorders (5th ed.), 2013, Arlington, VA: American Psychiatric Publishing.
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- 13. Lenz, A.S., et al., "Effectiveness of dialectical behavior therapy for eating disorders," Journal of Counseling & Development, Volume 92, 2014, pp. 26-35, doi: 10.1002/j.1556-6676.2014.00127.x.
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- Yeo AJ, Germán M, Wheeler LA, Camacho K, Hirsch E, Miller A. Self-harm and self-regulation in urban ethnic minority youth: a pilot application of dialectical behavior therapy for adolescents. *Child Adolesc Ment Health*. 2020 Sep;25(3):127-134. doi: 10.1111/camh.12374. Epub 2020 Mar 11. PMID: 32516480.

The articles reviewed in this research include those obtained in an Internet based literature search for relevant medical references through 8/13/24, the date the research was completed.

Joint BCBSM/BCN Medical Policy History

| Policy Effective Date | BCBSM Signature Date | BCN Signature Date | Comments |
|--------------------------|-------------------------|-----------------------|---|
| 5/1/12 | 2/21/12 | 3/26/12 | Joint policy established |
| 7/1/13 | 4/16/13 | 4/22/13 | New codes 90875 and 90832-90838 added for 2013; 90806 deleted; no change in policy status. |
| 1/1/15 | 10/24/14 | 11/3/14 | Routine maintenance |
| 1/1/16 | 10/13/15 | 10/27/15 | Routine maintenance |
| 1/1/17 | 10/11/16 | 10/11/16 | Routine maintenance |
| 1/1/18 | 10/19/17 | 10/19/17 | Routine maintenance |
| 1/1/19 | 10/16/18 | 10/16/18 | Routine maintenance |
| 1/1/20 | 10/15/19 | | Routine maintenance |
| 1/1/21 | 10/20/20 | | Routine maintenance Addition of 99354 and 99355 to EST |
| 1/1/22 | 10/19/21 | | Routine maintenance |
| 1/1/23 | 10/18/22 | | Routine maintenance |
| 1/1/24 | 10/17/23 | | Routine maintenance (slp) Vendor Managed: N/A |
| 1/1/25 | 10/15/24 | | Routine maintenance (slp) Vendor managed: N/A Age limits removed – changed to "individuals" Impulse control disorders added as a covered symptom |

Next Review Date: 4th Qtr, 2025

BLUE CARE NETWORK BENEFIT COVERAGE POLICY: DIALECTICAL BEHAVIOR THERAPY

I. Coverage Determination:

| Commercial HMO (includes Self-Funded groups unless otherwise specified) | Covered; criteria apply. | |
|--|--|--|
| BCNA (Medicare | Refer to the Medicare information under the Government | |
| Advantage) | Regulations section of this policy. | |
| BCN65 (Medicare | Coinsurance covered if primary Medicare covers the | |
| Complementary) | service. | |

II. Administrative Guidelines:

- The member's contract must be active at the time the service is rendered.
- Coverage is based on each member's certificate and is not guaranteed. Please
 consult the individual member's certificate for details. Additional information regarding
 coverage or benefits may also be obtained through customer or provider inquiry
 services at BCN.
- The service must be authorized by the member's PCP except for Self-Referral Option (SRO) members seeking Tier 2 coverage.
- Services must be performed by a BCN-contracted provider, if available, except for Self-Referral Option (SRO) members seeking Tier 2 coverage.
- Payment is based on BCN payment rules, individual certificate and certificate riders.
- Appropriate copayments will apply. Refer to certificate and applicable riders for detailed information.
- CPT HCPCS codes are used for descriptive purposes only and are not a guarantee of coverage.