# **Medicare Advantage Medical Policy**



Nonprofit corporations and independent licensees of the Blue Cross and Blue Shield Association

# Prostatic Urethral Lift Procedure for the Treatment of BPH – Medicare Advantage

#### Medicare Advantage Plan

☑ Medicare Plus Blue<sup>SM</sup>
 ☑ BCN Advantage<sup>SM</sup>

UM Committee Approval Date: 7/17/2024 Effective Date: 7/17/2024

# Description

The prostatic urethral lift procedure is an approach for treating benign prostatic hyperplasia (BPH) that lifts and holds the enlarged prostate tissue out of the way, so it no longer blocks the urethra. This approach does not require heating, cutting, removal, or destruction of the prostate tissue. This procedure involves inserting a permanent implant transurethrally through a rigid sheath under cystoscopic visualization to reach the obstructing prostatic lobes.

# **Coverage Determination**

BCBSM/BCN follows guidance from the Centers for Medicare and Medicaid Services (CMS) when performing organization (coverage) determinations for Medicare Advantage plans members. CMS Medicare statutes, regulations, manuals, National Coverage Determinations (NCDs), Local Coverage Determinations (LCDs), and Local Coverage Articles (LCAs) provide the clinical guidelines for coverage determinations. When CMS Medicare guidance is not fully established, BCBSM/BCN may use LCD/LCAs outside the services area, independent criteria, internal coverage criteria, or research from independent medical research repositories (i.e., Hayes) for coverage determinations. BCBSM/BCN internal medical coverage policies are developed and based on current evidence in widely accepted treatment guidelines or clinical literature; in addition, they address how the clinical benefits are highly likely to outweigh any clinical harm.

# The following is applicable for this medical policy:

After searching the Medicare Coverage Database, it was determined that <u>CMS does **not** have NCD, LCD, LCA or Manual clinical guidelines for coverage determination of the service or items related to the codes in the policy. BCBSM/BCN internal policy coverage criteria will be applied. This service may be medically necessary when the criteria are met.</u>

Code	Description	NCD	LCD/LCA	Additional Guidance
52441	cystourethroscopy, with insertion of permanent adjustable transprostatic implant; single implant	None	None	As no NCDs or LCDs are available for
52442	Cystourethroscopy, with insertion of permanent adjustable transprostatic implant; each additional permanent adjustable transprostatic implant (List	-		guidance refer to the BCBSM/BCN policy:
	adjustable transprostatic implant (List separately in addition to code for primary procedure)			Prostatic Urethral Lift Procedure for the Treatment of BPH
				<b>Note:</b> Policy attached below

CPT<sup>®</sup> codes, descriptions and materials are copyrighted by the American Medical Association (AMA).

HCPCS® codes, descriptions and materials are copyrighted by Centers for Medicare and Medicaid Services (CMS).

The above Medicare information is current as of the review date for this policy. However, the coverage issues and policies maintained by CMS are updated and/or revised periodically. Therefore, the most current CMS information may not be contained in this document. MAC jurisdiction for purposes of local coverage determinations is governed by the geographic service area where the Medicare Advantage plan is contracted to provide the service. Please refer to the Medicare Coverage Database website at https://www.cms.gov/medicare-coverage database/search.aspx for the most current applicable NCD, LCD, LCA, and CMS Online Manual System/Transmittals.

#### **Important Reminder**

BCBSM/BCN follows CMS Medicare coverage guidance to limit coverage to items and services that are reasonable and necessary for the diagnosis or treatment of an illness or injury or to improve the functioning of a malformed body member. Medicare Advantage medical coverage policies list the criteria our clinicians use to decide when medical services are considered "reasonable and necessary."

**Note**: U.S. Food and Drug Administration (FDA) approval for a specific indication or the issuance of a CPT code is not sufficient for a procedure to be considered medically reasonable and necessary. Similarly, the presence of a procedure/device code or payment amount for the service in the Medicare fee schedule does not necessarily indicate coverage. If a service is deemed not reasonable and necessary, to treat illness or injury for any reason (including lack of safety and efficacy because it is an experimental procedure, etc.), the procedure is considered not covered.

**Disclaimer:** The Medical Coverage Policies are reviewed by the BCBSM/BCN Utilization Management Committee. Policies in this document may be modified by a member's coverage document. The existence of the medical policy is not an authorization, certification, explanation of benefits, or a contract for the services, devices, or drugs that is referenced in the medical policy. Medical policies do not constitute medical advice and do not guarantee any results or outcomes. Medical policy is not intended to replace independent medical judgment for treatment of individuals. Treating physicians and health care providers are solely responsible for determining what care to provide to their patients. Identification of selected brand names of devices, tests and procedures in a medical coverage policy is for reference only and is not an endorsement of any one device, test, or procedure over another.

Pursuant to Section 1557 and Section 504, Blue Cross does not discriminate on the basis of race, color, national origin, age, disability, or sex (including sex characteristics, intersex traits; pregnancy or related conditions; sexual orientation; gender identity, and sex stereotypes). This includes our rules, benefit designs and medical policies.

#### **BCBSM/BCN Medicare Advantage Policy History**

Policy Effective Date	UM Committee Approval Date	Comments
07/17/2024	07/17/2024	Medicare policy established

# Medical Policy



 Blue Shield Blue Care Network
 of Michigan

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Joint Medical Policies are a source for BCBSM and BCN medical policy information only. These documents are not to be used to determine benefits or reimbursement. Please reference the appropriate certificate or contract for benefit information. This policy may be updated and is therefore subject to change.

\*Current Policy Effective Date: 11/1/23 (See policy history boxes for previous effective dates)

# **Title: Prostatic Urethral Lift Procedure for the Treatment of BPH**

# **Description/Background**

# **BENIGN PROSTATIC HYPERPLASIA (BPH)**

BPH is a common disorder among older individuals that results from hyperplastic nodules in the periurethral or transitional zone of the prostate. The clinical manifestations of BPH include increased urinary frequency, nocturia, urgency or hesitancy to urinate, and a weak stream when urinating. The urinary tract symptoms often progress with worsening hypertrophy and may lead to acute urinary retention, incontinence, renal insufficiency, and/or urinary tract infection. Benign prostatic hyperplasia prevalence increases with age and is present in more than 80% of individuals ages 70 to 79 years.<sup>1</sup>

Two scores are widely used to evaluate BPH-related symptoms: the American Urological Association Symptom Index (AUASI) and the International Prostate Symptom Score (IPSS). The AUASI is a self-administered 7-item questionnaire assessing the severity of various urinary symptoms.<sup>2</sup> Total AUASI scores range from 0 to 35, with overall severity categorized as mild ( $\leq$ 7), moderate (8-19), or severe (20-35).1 The IPSS incorporates questions from the AUASI and a quality of life question or a "Bother score."<sup>3</sup>

#### Management

Evaluation and management of BPH include assessment for other causes of lower urinary tract dysfunction (e.g., prostate cancer), symptom severity, and the degree that symptoms are bothersome to determine the therapeutic approach.

For patients with moderate-to-severe symptoms (e.g., an AUASI score of  $\geq$ 8), bothersome symptoms, or both, a discussion about medical therapy is reasonable. Benign prostatic hyperplasia should generally be treated medically first. Available medical therapies for BPHrelated lower urinary tract dysfunction include  $\alpha$ -adrenergic blockers (e.g., alfuzosin, doxazosin, tamsulosin, terazosin, silodosin), 5 $\alpha$ -reductase inhibitors (e.g., finasteride, dutasteride), combination  $\alpha$ -adrenergic blockers and 5 $\alpha$ -reductase inhibitors, anti-muscarinic agents (e.g., darifenacin, solifenacin, oxybutynin), and phosphodiesterase-5 inhibitors (e.g., tadalafil).<sup>1</sup> In a meta-analysis of both indirect comparisons from placebo-controlled studies (including 6,333 patients) and direct comparative studies (including 507 patients), Djavan et al (1999) found that the IPSS improved by 30% to 40% and the Qmax score (mean peak urinary flow rate) improved by 16% to 25% in individuals assigned to  $\alpha$ -adrenergic blockers.<sup>4</sup> Combination therapy using an  $\alpha$ -adrenergic blocker and 5 $\alpha$ -reductase inhibitor has been shown to be more effective for improving IPSS than either treatment alone, with median scores improving by more than 40% over 1 year and by more than 45% over 4 years.

Patients who do not have sufficient response to medical therapy, or who are experiencing significant side effects with medical therapy, may be referred for surgical or ablative therapies. Various surgical and ablative procedures are used to treat BPH. Transurethral resection of the prostate (TURP) is generally considered the reference standard for comparisons of BPH procedures.<sup>5</sup>. In the perioperative period, TURP is associated with risks of any operative procedure (e.g., anesthesia risks, blood loss). Although short-term mortality risks are generally low, a large prospective study with 10,654 patients by Reich et al (2008) reported the following short-term complications: "failure to void (5.8%), surgical revision (5.6%), significant urinary tract infection (3.6%), bleeding requiring transfusions (2.9%), and transurethral resection syndrome (1.4%)."<sup>6</sup>. Incidental carcinoma of the prostate was diagnosed by histologic examination in 9.8% of patients. In the longer term, TURP is associated with an increased risk of sexual dysfunction and incontinence.

Several minimally invasive prostate ablation procedures are available, including transurethral microwave thermotherapy, transurethral needle ablation of the prostate, urethromicroablation phototherapy, and photoselective vaporization of the prostate. The minimally invasive procedures were individually compared with TURP at the time they were developed, which provided a general benchmark for evaluating those procedures. The American Urological Association (AUA) recommends surgical intervention for patients who have "renal insufficiency secondary to BPH, refractory urinary retention secondary to BPH, recurrent urinary tract infections (UTIs), recurrent bladder stones or gross hematuria due to BPH, and/or with lower urinary tract symptoms (LUTS) attributed to BPH refractory to and/or unwilling to use other therapies." <sup>7</sup>

#### **UroLift® System**

Prostatic Urethral Lift using the UroLift<sup>®</sup> System was designed to meet the need for a less invasive option. The UroLift System is a straightforward treatment that provides immediate, visible results. The procedure can be done in an outpatient or inpatient setting and under general or local anesthesia. Patients typically can return home the same day without a catheter, and experience rapid symptom relief and recovery with low complication rates. This transurethral BPH treatment does not require ongoing medication, heating, cutting or removal of the prostate tissue.

# **Regulatory Status**

The UroLift System received FDA authorization for marketing through a de novo classification approval on September 13, 2013 (K130651), as a Class II device. It is indicated for the treatment of symptoms due to urinary outflow obstruction secondary to benign prostatic hyperplasia (BPH) in men age 45 and above. Per the FDA approval, the UroLift System should *not* be used if the patient has:

- Prostate volume of >100 cc;
- A urinary tract infection;
- Urethra conditions that may prevent insertion of delivery system into bladder;

- Urinary incontinence;
- Current gross hematuria; or
- A known allergy to nickel.

In addition, the UroLift Implant has been shown to be MR Conditional and can be scanned under the following conditions:

- Static magnetic field strength of 3 Tesla or less;
- Maximum spatial gradient magnetic field of 720 Gauss/cm;
- A maximum whole-body-averaged specific absorption rate (SAR) of 4 W/kg for 15 minutes of scanning.

In March 2016, FDA determined that the UL500 was substantially equivalent to existing devices (UL400) for the treatment of symptoms of urinary flow obstruction secondary to benign prostatic hyperplasia in men age 45 years and older. In 2017, the FDA expanded the indication for the UL400 and UL500 to include lateral and median lobe hyperplasia in men 45 years or older. An additional clearance in 2019 (K193269) modified one contraindication from men with prostate volume of >80 cc to men with prostate volume of >100 cc. FDA product code: PEW.

# **Medical Policy Statement**

The safety and efficacy of the prostatic urethral lift procedure for the treatment of benign prostatic hypertrophy (BPH) have been established. It is a useful therapeutic option for men with symptomatic BPH who have failed conventional pharmacologic therapy.

# **Inclusionary and Exclusionary Guidelines**

#### Inclusions:

Candidates for the prostatic urethral lift procedure must meet **all** of the following guidelines:

- Age 45 years or older
- A documented diagnosis of symptomatic benign prostatic hypertrophy (BPH) of the *lateral* lobes of the prostate, including but not limited to the following symptoms:
  - Difficulty starting and stopping urination (hesitancy and straining).
  - Decreased strength of the urine stream (weak flow).
  - Dribbling after urination.
  - Feeling that the bladder is not completely empty.
  - An urge to urinate again soon after urinating (urgency).
  - Pain during urination (dysuria).
  - Nocturia waking up several times during the night with the urge to urinate.
  - Frequent urinary tract infections secondary to urinary obstruction.
- Documented failure, inability to tolerate, or undesirable side effects of pharmacologic intervention for BPH, including, but not limited to
  - Alpha blockers such as Uroxatral, Cardura, Rapaflo, Flomax or Hytrin
  - 5-Alpha Reductase Inhibitors for BPH, such as Avodart or Proscar
  - Combination drugs using both an alpha blocker and a 5-alpha reductase inhibitor.

# Exclusions:

• Patients not meeting the patient selection criteria above.

**CPT/HCPCS Level II Codes** (Note: The inclusion of a code in this list is not a guarantee of coverage. Please refer to the medical policy statement to determine the status of a given procedure.)

Established codes:

52441 52442

Other codes (investigational, not medically necessary, etc.):

N/A

# Rationale

Evidence reviews assess the clinical evidence to determine whether the use of technology improves the net health outcome. Broadly defined, health outcomes are the length of life, quality of life, and ability to function—including benefits and harms. Every clinical condition has specific outcomes that are important to patients and managing the course of that condition. Validated outcome measures are necessary to ascertain whether a condition improves or worsens; and whether the magnitude of that change is clinically significant. The net health outcome is a balance of benefits and harms.

To assess whether the evidence is sufficient to draw conclusions about the net health outcome of technology, two domains are examined: the relevance and the quality and credibility. To be relevant, studies must represent one or more intended clinical use of the technology in the intended population and compare an effective and appropriate alternative at a comparable intensity. For some conditions, the alternative will be supportive care or surveillance. The quality and credibility of the evidence depend on study design and conduct, minimizing bias and confounding that can generate incorrect findings. The randomized controlled trial (RCT) is preferred to assess efficacy; however, in some circumstances, nonrandomized studies may be adequate. RCTs are rarely large enough or long enough to capture less common adverse events and long-term effects. Other types of studies can be used for these purposes and to assess generalizability to broader clinical populations and settings of clinical practice.

# **PROSTATIC URETHRAL LIFT**

#### **Clinical Context and Therapy Purpose**

The purpose of PUL in patients who have lower urinary tract symptoms due to benign prostatic hyperplasia (BPH) is to provide a treatment option that is an alternative to or an improvement on existing therapies such as medical management or transurethral resection of the prostate (TURP).

The question addressed in this evidence review is: Does PUL improve the net health outcome in individuals with BPH?

The following **PICOs** were used to select literature to inform this review.

# Populations

BPH prevalence increases with age and is present in more than 80% of individuals ages 70 to 79.<sup>1</sup>

The relevant population-of-interest are men who are experiencing lower urinary tract symptoms without a history suggesting non-BPH causes of the symptoms and who do not have sufficient response to medical therapy or are experiencing significant side effects with medical therapy.

#### Interventions

The therapy being considered is PUL. The PUL procedure involves placement of one or more implants in the lateral lobes of the prostate using a transurethral delivery device. The implant device is designed to retract the prostate to allow expansion of the prostatic urethra. The implants are retained in the prostate to maintain an expanded urethral lumen.

One device, the NeoTract UroLift System, has been cleared for marketing by the U.S. Food and Drug Administration (see Regulatory Status section). The device has two main components: the delivery device and the implant. Each delivery device comes preloaded with a UroLift implant.

# Comparators

Various surgical or ablative procedures are used to treat BPH. TURP is generally considered the reference standard for comparisons of BPH procedures. Several minimally invasive prostate ablation procedures have also been developed, including transurethral microwave thermotherapy, transurethral needle ablation of the prostate, urethromicroablation phototherapy, and photo-selective vaporization of the prostate.

#### Outcomes

A number of health status measures are used to evaluate symptoms relevant to BPH and adverse events of treatment for BPH, including urinary dysfunction measured by urinary flow rate (Qmax), ejaculatory dysfunction, overall sexual health, and overall quality of life. Some validated patient-reported scales are shown in Table 1.

Of note, prostate volume does not have a direct correlation with severity of urinary symptoms.<sup>8</sup>

Table 1. Patient-Reported Health	Outcome Measures	Relevant to Benig	n Prostatic Hyperplasia
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Measure Outcome Evaluated		Description	Clinically Meaningful Difference (if Known)	
Male Sexual Health Questionnaire for Ejaculatory Dysfunction <sup>9</sup> Sexual Health Inventory for Men <sup>10</sup>	Ejaculatory function and quality of life Erectile function	Patient-administered, 4-item scale. Symptoms rated as absent (15) to severe (0). QOL assessed as no problem (0) to extremely bothered (5). Patient-administered, 5-item scale. Erectile dysfunction rated as severe (1- 7), moderate (8-11), mild to moderate (12-16), or mild (17-21). Fewest	NR 5-point change <sup>11</sup>	
American Urological Association Symptom Index; International	Severity of lower urinary tract symptoms	<ul> <li>symptoms present for patients with score 22-25.</li> <li>Patient-administered, 7-item scale. Symptoms rated as mild (0-7), moderate (8-19), or severe (20-35)</li> </ul>	<ul> <li>Minimum of 3- point change<sup>1,12</sup></li> <li>Minimum of 30% change<sup>13</sup></li> </ul>	

Prostate Symptom Score <sup>1,3,12</sup>		<ul> <li>IPSS asks an additional question, rating QOL as delighted (0) to terrible (6).</li> </ul>	
Benign Prostatic	Effect of urinary	Patient-administered, 4-item scale.	Minimum of 0.4-point
Hyperplasia Impact	symptoms on	Symptoms rated as absent (0) to severe	change <sup>12</sup>
Index <sup>6,14</sup>	health domains	(13).	

QOL: quality of life; IPSS: International Prostate Symptom Score; NR: Not reported.

# **Study Selection Criteria**

Methodologically credible studies were selected using the following principles:

- a. To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- b. In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- c. To assess longer-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.
- d. Studies with duplicative or overlapping populations were excluded.

## **Systematic Reviews**

## **Initial Prostatic Urethral Lift Procedure**

Several systematic reviews on PUL have been published. They include a similar set of trials and noncomparative studies. Perera et al (2015) reported on the results of a systematic review and meta-analysis<sup>15</sup> of studies reporting outcomes after the PUL procedure, which included 7 prospective cohort studies,<sup>17-23</sup> a crossover study (Cantwell et al [2014]<sup>16</sup>), and the LIFT RCT (Roehrborn et al [2013],<sup>17</sup> McVary et al [2014]<sup>18</sup>). Shore (2015)<sup>19</sup> performed a systematic review of UroLift studies, which included the LIFT RCT (Roehrborn et al [2013]<sup>17</sup>; Roehrborn et al [2015]<sup>20</sup>; McVary et al [2014]<sup>18</sup>), a crossover study (Cantwell et al [2014]<sup>16</sup>), and 4 prospective cohort studies (Garrido Abad et al [2013]<sup>21</sup>; Chin et al [2012]<sup>22</sup>; Woo et al [2012]<sup>23</sup>; McNicholas et al [2013]<sup>24</sup>).

Jones et al (2016) performed a systematic review of UroLift studies with at least 12 months of follow-up.<sup>25</sup> Seven studies were identified, which included 4 noncomparative studies (Woo et al [2011],<sup>26</sup> Chin et al [2012],<sup>22</sup> McNicholas et al [2013],<sup>24</sup> Bozkurt et al [2016]<sup>27</sup>), a crossover study (Cantwell et al [2014]<sup>16</sup>), and 2 RCTs (LIFT<sup>17</sup> and BPH<sup>11</sup>).

The National Institute for Health and Care Excellence (2016) published technical guidance on prostatic lift procedures.<sup>28</sup> The National Institute for Care Excellence performed a literature search and data synthesis to support the development of the guidance. Studies selected were the same studies included in Perera et al (2015),<sup>15</sup> except for the exclusion of Hoffman et al (2012)<sup>29</sup> in the analysis.

Tanneru et al (2020) published a systematic review and meta-analysis of studies with at least 24 months of follow-up.<sup>30</sup> Five studies were included; 3 noncomparative studies (Chin et al [2012]<sup>22</sup> Rukstalis (2016)<sup>31</sup> Sievert et al [2020]<sup>32</sup> and 2 RCTs (LIFT and BPH).

Perera et al (2015), Shore (2015) and Jones et al (2016) analyzed data from the PUL arms of the studies only and the National Institute for Health and Care Excellence review was

published before the BPH6 RCT. Therefore, these systematic reviews s will not be discussed further.

Jung et al (2019) published a Cochrane systematic review of PUL parallel-group RCTs published up to Jan 2019.<sup>33</sup> The 2 included RCTs (n=297) were the LIFT and BPH6 trials described in detail in the following section.<sup>17,34</sup> The two RCTs included different comparators and results were not combined meta-analytically. The authors used the GRADE approach to rate the certainty of the evidence. The conclusions were as follows:

- PUL appears less effective than TURP in improving urological symptoms, both in the short-term and long-term (low-certainty evidence);
- PUL may result in a similar QOL compared to TURP (low-certainty evidence);
- PUL may result in similar erectile function compared to TURP (moderate-certainty evidence);
- PUL may result in better ejaculatory function compared to TURP (moderate-certainty evidence);
- Rates of major adverse events are unclear (very low-certainty evidence);
- Rates of retreatment are unclear (very low-certainty evidence).

In 2022, Franco et al published a Cochrane network meta-analysis assessing the comparative effectiveness of minimally invasive treatments for lower urinary tract symptoms in men with BPH.<sup>36.</sup> Twenty-seven trials representing 3017 men were included through February 2021. Compared to TURP, PUL and prostatic arterial embolization (PAE) were found to result in little to no difference in urological symptoms, while convective water vapor thermal therapy (e.g., Rezum), transurethral microwave thermotherapy (TUMT), and temporary implantable nitinol devices (TIND) may result in worse urological outcomes. While minimally invasive treatments were found to result in little to no difference in quality of life compared to TURP, they were found to result in a large reduction in major adverse events. The overall certainty of the evidence according to GRADE criteria was low to very low across these outcomes. The authors were uncertain of the effects of PUL on erectile function (mean difference of International Index of Erectile Function, 3.00; 95% CI, -5.45 to 11.44), ejaculatory dysfunction (RR 0.05; 95% CI, 0.00 to 1.06), and retreatment rates (RR 2.39; 95% confidence interval [CI], 0.5 to 11.1) compared to TURP. Retreatment was defined as the number of participants requiring a follow-up procedure for lower urinary tract symptoms with another minimally invasive treatment or TURP, excluding follow-up procedures to treat complications, which were evaluated as major adverse events.

# **Randomized Controlled Trials**

Two RCTs of PUL have been performed. Key trial characteristics and study results are shown below in Tables 2 and 3, 6 and 7. Additionally, a brief description of each trial is provided in the following sections.

	Interventions, n										
Study; Trial	Countries	Sites	Dates	Inclusion Criteria	Baseline Prostate Volume, cm <sup>3</sup>	Active	Comparator				
Sonksen et al (2015) <sup>11</sup> ; BPH6	Denmark, Germany, U.K.	10	Feb 2012- Oct 2013	Age <u>&gt;</u> 50 y, IPSS >12, prostate volume <u>&lt;</u> 60 cm <sup>3</sup>	16-59	PUL=46	TURP=45				

#### Table 2. PUL Randomized Controlled Trial Characteristics

Roehrborn et al (2013) <sup>17</sup> ; LIFT	U.S., Canada, Australia	19	Feb-Dec 2011	Age ≥50 y, IPSS ≥13, prostate volume 30-80 cm <sup>3</sup> , washed out of BPH	30-77	PUL=140	Sham=66
				medications			

BPH: benign prostatic hypertrophy; IPSS: International Prostate Symptom Score; PUL: prostatic urethral lift; TURP: transurethral resection of the prostate.

# **BPH6 Study**

Sonksen et al (2015) reported on the results of a multicenter RCT comparing the PUL procedure with TURP among individuals ages 50 and older with lower urinary tract symptoms, secondary to benign prostatic obstruction.<sup>11</sup> Eligible patients had an IPSS above 12, a Qmax of 15 mL/s or less for a 125-mL voided volume, a post-void residual volume less than 350 mL, and prostate volume of 60 cm<sup>3</sup> or less on ultrasound. Patients were excluded if there was median lobe obstruction in the prostate or signs of active infection. The trial used a novel composite endpoint, referred to as the BPH6, which included the following criteria:

- Lower urinary tract symptom relief: Reduction in IPSS by ≥30% within 12 months, relative to baseline
- Recovery experience: Self-assessed by patients as ≥70% within 1 month, using a visual analog scale
- Erectile function: Reduction in Sexual Health Inventory for Men (SHIM) score by ≤6 points within 12 months, relative to baseline
- Ejaculatory function: Emission of semen as assessed by question three in the Male Sexual Health Questionnaire for Ejaculatory Dysfunction (MSHQ-EjD)
- Continence preservation: Incontinence Severity Index ≤4 points at all follow-up visits
- Safety: No treatment-related adverse events exceeding grade 1 on the Clavien-Dindo classification system at time or procedure or any follow-up.

Patients were considered treatment responders if they met all six composite criteria. While this composite endpoint has not been previously validated, core components of the composite score have been independently validated in a clinical setting. The trial used a noninferiority design with a margin of 10% for the primary endpoint, BPH6. Study investigators modified 2 of the original endpoint definitions in the study's analysis, including changing the sexual function element assessment from a single time point (12 months) to assess sustained effects during 12 months of follow-up, and lowering the threshold of quality of recovery on a visual analog scale from 80 to 70.

Outcomes	3 Months		12 Mo	onths	24 Months		
	PUL	TURP	PUL	TURP	PUL	TURP	
Mean change in IF	PSS						
n	42	34	40	32	37	32	
Mean (SD)	-11.7 (8.5)	-11.8 (9.5)	-10.9 (7.9)	-15.4 (6.8)	-9.2 (9.2)	-15.3 (7.5)	
Р	<0.001	< 0.001	<0.001	<0.001	< 0.001	< 0.001	
Comparison (p)	0.9	78	0.013		0.004		
Change in IPSS Q	OL						
n	43	34	40	32	37	32	
Mean (SD)	-2.6 (1.7)	-2.4 (2.0)	-2.8 (1.8)	-3.1 (1.6)	-2.5 (1.8)	-3.3 (1.6)	
р	<0.001	<0.001	<0.001	<0.001	< 0.001	< 0.001	
Comparison (p)	0.5	55	0.4	36	0.066		

#### Table 3. Summary of Evidence From the BPH6 Study

Change in Qmax						
n	33	25	32	29	27	27
Mean (SD)	4.2 (5.0)	12.7 (9.8)	4.0 (4.8)	13.7 (10.4)	5.0 (5.5)	15.8 (16.5)
p	<0.001	0.003	<0.001	0.003	<0.001	0.002
Comparison (p)	<0.0	001	<0.	001	0.0	02
Change in SHIM s	core					
n	38	27	32	27	29	28
Mean (SD)	-0.7 (5.2)	-1.0 (5.2)	-0.1 (4.7)	-0.9 (4.3)	-0.2 (4.3)	-1.8 (4.90)
р	0.386	0.328	0.940	0.29	0.832	0.067
Comparison (p)	0.8	61	0.4	86	0.2	201
Change in MSHQ-	EjD function s					
n	38	27	32	27	29	27
Mean (SD)	-0.7 (2.1)	-3.0 (4.1)	1.3 (3.3)	-3.7 (4.1)	0.3 (3.4)	-4.0 (4.6)
р	0.251	<0.001		<0.001	0.666	<0.001
Comparison (p)	<0.0	001	<0.	001	<0.	001
Change in MSHQ-	EjD bother sco	ore				
n	38	28	32	27	29	27
Mean (SD)	-0.7 (2.1)	0.2 (1.5)	0.5 (2.2)	0.0 (1.5)	-0.1 (2.2)	-0.3 (1.9)
р	0.062	0.470	0.214	0.896	0.734	0.415
Comparison (p)	0.0	0.069		359	0.7	771
Composite score	NR	NR	Response:52%	Response:20%	NR	NR
Comparison	N	R	Difference: 32%	ն (10% to 51%);	N	IR
(95% CI); p			0.0	005		-
Clavien-Dindo adv	erse events					
Grade 1, n (%)	NR	NR	30 (68)	26 (74)	NR	NR
Adverse events			60	79		
Grade 2, n (%)	NR	NR	3 (7)	4 (11)	NR	NR
Adverse events			3	5		
Grade 3, n (%)	NR	NR	4 (9)	5 (14)	NR	NR
Adverse events			4	5		

Adapted from Gratzke et al (2017).<sup>34</sup>

BPH: benign prostatic hypertrophy; CI: confidence interval; IPSS: International Prostate Symptom Score; MSHQ-EjD: Male Sexual Health Questionnaire for Ejaculatory Dysfunction; NR: not reported; PUL: prostatic urethral lift; Qmax: mean peak urinary flow rate; QOL: quality of life; SD: standard deviation; SHIM: Sexual Health Inventory for Men; TURP: transurethral resection of the prostate.

Ninety-one patients were randomized to TURP (n=45) or PUL (n=46). Ten patients in the TURP group and 1 patient in the PUL group declined treatment, leaving an analysis group of 80 subjects. The analysis was per-protocol, including 35 in the TURP group and 44 in the PUL group (87% of those randomized; 1 patient was excluded for violating the active urinary retention exclusion criterion). Groups were similar at baseline, except for the MSHQ-EjD function score. For procedure recovery, 82% of the PUL group achieved the recovery endpoint by 1 month compared with 53% of the TURP group (p=0.008). For the study's primary outcome, the proportion of participants who met the original BPH6 primary endpoint was 34.9% for the PUL group, and 8.6% for the TURP group (noninferiority p<0.001; superiority p=0.006). The modified BPH6 primary endpoint was met by 52.3% of the PUL group and 20.0% of the TURP group (noninferiority p<0.001; superiority p=0.005). Both groups demonstrated improvements over IPSS, IPSS guality of life score, BPH-II score, and Qmax over time, as described in Table 3. There were 60, grade 1 adverse events in 30 (68%) PUL patients and 79 adverse events in 26 (74%) TURP patients. The number of patients experiencing grade 2 and 3 adverse events was similar between groups. Intention-to-treat analyses were not reported.

Gratzke et al (2017) reported on 2-year results from BPH6.<sup>35</sup> Two additional patients were excluded from analysis: one TURP patient who discontinued participation; and one PUL

patient who had a protocol violation. Composite scores for the two groups were not reported. Both groups continued to show significant improvements in IPSS score, IPSS quality of life, BPH-II score, and Qmax during the two-year follow-up, as described in Table 3. Six (14%) PUL patients and 2 (6%) TURP patients had secondary treatment (PUL, intradetrusor botulinum toxin, laser or TURP procedure), showing moderate durability over 2 years.

#### **Table 4. Relevance Limitations**

Study	Population <sup>a</sup>	Intervention <sup>b</sup>	<b>Comparator</b> <sup>c</sup>	Outcomes <sup>d</sup>	Follow-Up <sup>e</sup>
BPH6	3. Unclear history of BPH treatments			4: Primary outcome was not validated	
LIFT	3. Unclear history of BPH treatments		2: Men were washed out of medication		

The study limitations stated in this table are those notable in the current review; this is not a comprehensive limitations assessment. BPH: benign prostatic hypertrophy.

<sup>a</sup> Population key: 1. Intended use population unclear; 2. Clinical context is unclear; 3. Study population is unclear; 4. Study population not representative of intended use.

<sup>b</sup> Intervention key: 1. Not clearly defined; 2. Version used unclear; 3. Delivery not similar intensity as comparator; 4.Not the intervention of interest.

<sup>c</sup> Comparator key: 1. Not clearly defined; 2. Not standard or optimal; 3. Delivery not similar intensity as intervention; 4. Not delivered effectively.

<sup>d</sup> Outcomes key: 1. Key health outcomes not addressed; 2. Physiologic measures, not validated surrogates; 3. No CONSORT reporting of harms; 4. Not establish and validated measurements; 5. Clinical significant difference not prespecified; 6. Clinical significant difference not supported.

<sup>e</sup> Follow-Up key: 1. Not sufficient duration for benefit; 2. Not sufficient duration for harms.

#### **Table 5. Study Design and Conduct Limitations**

Study	Allocation <sup>3</sup>	Blinding <sup>b</sup>	Selective Reporting <sup>c</sup>	Data Completeness <sup>d</sup>	Power <sup>e</sup>	Statistical <sup>f</sup>
BPH6		1. Blinding not feasible		6. Only per-protocol analysis presented		
LIFT				1, 2, 5. High losses and/or exclusions in extended follow- up, only LOCF sensitivity analyses provided		3, 4. CI not reported for treatment effects

The study limitations stated in this table are those notable in the current review; this is not a comprehensive limitations assessment. CI: confidence interval; LOCF: last observation carried forward.

<sup>a</sup> Allocation key: 1. Participants not randomly allocated; 2. Allocation not concealed; 3. Allocation concealment unclear; 4. Inadequate control for selection bias.

<sup>b</sup>Blinding key: 1. Not blinded to treatment assignment; 2. Not blinded outcome assessment; 3. Outcome assessed by treating physician.

<sup>o</sup> Selective Reporting key: 1. Not registered; 2. Evidence of selective reporting; 3. Evidence of selective publication.

<sup>d</sup> Data Completeness key: 1. High loss to follow-up or missing data; 2. Inadequate handling of missing data; 3. High number of crossovers; 4. Inadequate handling of crossovers; 5. Inappropriate exclusions; 6. Not intent to treat analysis (per protocol for noninferiority trials). <sup>e</sup> Power key: 1. Power calculations not reported; 2. Power not calculated for primary outcome; 3. Power not based on clinically important difference

<sup>f</sup> Statistical key: 1. Analysis is not appropriate for outcome type: (a) continuous; (b) binary; (c) time to event; 2. Analysis is not appropriate for multiple observations per patient; 3. Confidence intervals and/or p values not reported; 4. Comparative treatment effects not calculated.

# LIFT Study

#### **Comparative Data**

Roehrborn et al (2013) reported on results of the pivotal LIFT study, an RCT comparing PUL with sham control among 206 individuals ages 50 and older with lower urinary tract symptoms secondary to BPH.<sup>23</sup> Eligible patients had an American Urological Association Symptom Index (AUASI) score of 13 or greater, Qmax of 12 mL/s or less for a 125-mL voided volume, and a prostate volume between 30 and 80 mL. Patients were excluded if there was median lobe obstruction in the prostate, post-void obstruction of more than 250 mL, or signs of active infection. Patients underwent washout of BPH medications before enrollment; the washout period was two weeks for a-blockers and three months for 5a-reductase inhibitors. Patients were randomized to PUL (n=140) or sham control (n=66) and evaluated at 3 months postprocedure for the trial's primary efficacy endpoint. After that, all patients were unblinded, and sham control patients were permitted to undergo the PUL procedure. Fifty-three control subjects eventually underwent a PUL procedure. The analysis was intention-to-treat. The study met its primary efficacy endpoint, which was that the reduction in AUASI score at 3 months post-procedure had to be at least 25% greater after the PUL than the reduction in AUASI score seen with sham (p=0.003). The AUASI score decreased from 24.4 at baseline to 18.5 at 3month follow-up for sham control patients and from 22.2 at baseline to 11.2 at 3-month followup for PUL patients (see Table 4). The 3-month change in Qmax was 4.28 mL/s for PUL patients and 1.98 mL/s for sham control patients (p=0.005). Compared with sham control patients, PUL patients had greater improvements in quality of life scores and BPH-II score (see Table 5). Nine serious adverse events in seven patients were reported in the PUL group, and one serious adverse event was reported in the sham group during the first three months of follow-up. Limitations in the trial design are summarized in Tables 4 and 5.

McVary et al (2014) reported on sexual function outcomes in a subset of patients from the LIFT study.<sup>2</sup> At baseline, 53 (38%) PUL subjects and 23 (53%) sham control subjects were sexually inactive or had severe erectile dysfunction and were censored from the primary sexual function analysis. Scores on the SHIM, MSHQ-EjD function scale and the MSHQ-EjD bother scale did not differ significantly between groups.

Study	Change in IPSS	Change in IPSS QOL	Change in Qmax	Change in MSHQ-EjD Function	Change in MSHQ-EjD Bother	Any Adverse Events, n (%)	Serious Adverse Events, n (%)
LIFT							
N at 3 months	206	206	182	144	177	206	206
PUL	-11.1 (7.7)	-2.2 (1.8)	4.3 (5.2)	2.2 (2.5)	-0.8 (1.5)	122 (87%)	7 (5%)
Adverse events	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	· · ·	. ,	× ,	. ,	. ,
Sham	-5.9 (7.7)	-1.0 (1.5)	2.0 (4.9)	1.7 (2.6)	-0.7 (1.6)	43 (52%)	1 (1.5%)
Adverse events	, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,	· · ·	. ,	× ,	, , ,	. ,
ТЕ (р)	NR (0.003)	NR (<0.001)	NR (0.005)	NR (0.283)	NR (0.60)	NR	NR

#### Table 6. Summary of LIFT Initial Trial Results

Adapted from Roehrborn et al (2013).<sup>17</sup>

Values are mean (standard deviation) unless otherwise indicated.

IPSS: International Prostate Symptom Score; MSHQ-EjD: Male Sexual Health Questionnaire for Ejaculatory Dysfunction; NR: not reported; PUL: prostatic urethral lift; Qmax: mean peak urinary flow rate; QQL: quality of life; TE: treatment effect

Outcomes	3 Months	1 Year	2 Years	3 Years	5 Years
n	140	129	118	109	87
Death/LTFU	0	2	7	2	18
Protocol deviations	3	0	0	1	0
Retreatment	0	6	4	6	4
Change in IPSS					
n	136	123	103	93	72
Change	-11.4 (7.72)	-10.61 (7.51)	-9.13 (7.62)	-8.83 (7.41)	-35.9%
95% CI	-12.45 to -9.83	-11.95 to -9.27	-10.62 to -7.64	-10.35 to -7.30	-44.4% to -27.3%
р	<0.001	<0.001	<0.001	<0.001	<0.001
Change in IPSS QOL					
n	136	123	103	93	72
Change	-2.22 (1.78)	-2.31 (1.60)	2.19 (1.72)	-2.25 (1.72)	-50.3
95% CI	-2.52 to -1.92	-2.59 to -2.02	-2.53 to -1.86	-2.60 to -1.89	-58.4% to -42.2%
р	<0.001	<0.001	<0.001	<0.001	<0.001
Change in Qmax					
n	122	102	86	69	52
Change	4.29 (5.16)	4.03 (4.96)	4.21 (5.09)	3.47 (5.00)	44.3%
95% CI	3.36 to 5.21	3.06 to 5.00	3.12 to 5.30	2.27 to 4.67	29.4% to 59.1%
р	<0.001	<0.001	<0.001	<0.001	<0.001
Change in SHIM scor	e				
n	91	87	72	66	NR
Change	1.27 (4.65)	0.70 (5.12)	1.06 (4.78)	0.53 (4.41)	NR
95% CI	0.31 to 2.24	-0.39 to 1.79	-0.07	To 2.18	-0.55 to 1.62
р	0.005	0.299	0.046	0.338	NR
Change in MSHQ-EjE	D function score				
n	91	87	72	66	49
Change	2.31 (2.58)	1.56 (2.68)	1.08 (2.51)	0.56 (2.48)	9.3%
95% CI	1.77 to 2.85	0.99 to 2.13	0.49 to 1.67	-0.05 to 1.17	-3.8% to 22.5%
р	<0.001	<0.001	<0.001	0.013	0.096
Change in MSHQ-EjD bother score					
n	91	87	72	66	49
Change	-1.07 (1.44)	-0.76 (-1.55)	0.63 (1.51)	-0.59 (1.52)	-6.3%
95% CI	-1.37 to -0.77	-1.09 to -0.43	-0.98 to -0.27	-0.96 to -0.22	-31.5% to 18.8%
р	<0.001	<0.001	<0.001	<0.001	0.019

#### Table 7. Summary of Evidence for LIFT Study, Including Participants in the PUL Group

Adapted from Roehrborn et al (2015)<sup>36</sup> for data from 3 months to 3 years and Roehrborn et al (2017)<sup>37</sup> for data for 5 years. While not specifically indicated, change values likely represent means and standard deviations. CI: 95% confidence interval; IPSS: International Prostate Symptom Score; LTFU: lost to follow-up; MSHQ-EjD: Male Sexual Health Questionnaire for Ejaculatory Dysfunction; NR: not reported; PUL: prostatic urethral lift; Qmax: mean peak urinary flow rate; QOL: quality of life; SHIM: Sexual Health Inventory for Men.

# Follow-Up of Sham-Assigned Crossover Participants

Cantwell et al (2014) reported on 12-month outcomes for 53 subjects in the LIFT sham control group who underwent PUL after unblinding at 3 months postprocedure.<sup>16</sup> Crossover (unblinded) patients had a change in IPSS from 23.4 to 12.3 at 3 months post-procedure compared with the change in IPSS from 25.2 to 20.2 at 3 months after the sham procedure. Subjects had greater improvements in BPH-II score in the crossover period (-3.3) than in the sham period (-1.9; p=0.024) but did not report significant differences in improvement in Qmax. Change in sexual function scores did not differ significantly after the sham procedure compared with after active procedure.

Rukstalis et al (2016) reported on 24-month outcomes for 42 of the 53 participants in the LIFT sham group who underwent PUL after unblinding.<sup>32</sup> During the 24 months, 4 patients were

known to have had TURP, and 1 patient required additional PUL implants. The change in IPSS from baseline to 24 months was -9.6 (-35%; 95% CI, not reported; p<0.001) and there was significant score improvements in Qmax, BPH-II scores, and quality of life. There were no significant changes compared with baseline for SHIM scores; however, MSHQ-EjD scores improved by 41% (p<0.001).

# Follow-Up of PUL-Assigned Participants

Roehrborn et al (2015) reported on 3-year results from patients randomized to PUL in the LIFT study.<sup>25</sup>After exclusion of 11 subjects who were lost to follow-up, 36 subjects with missing data, protocol deviations, medication treatment for BPH, or other prostate procedures, and 15 subjects who underwent surgical retreatment for lower urinary tract symptoms (6 with repeat PUL procedures, 9 with TURP or laser vaporization), the 3-year effectiveness analysis included 93 (66%) of the original 140 subjects. For subjects with follow-up data, change in IPSS was -8.83 (95% CI, -10.35 to -7.30; p<0.001). Significant improvements were also reported for the quality of life score, BPH-II score, and Qmax. Sexual function was unchanged. Implants were removed from ten participants. No analyses were performed to assess how sensitive the results were to changes in the assumptions about the considerable amount of missing data.

Roehrborn et al (2016) reported on 4-year results from patients randomized to PUL in the LIFT study.<sup>37</sup> Of the 140 originally randomized patients, 32 were lost by the 4-year follow-up visit (6 losses were deaths). Of the remaining 108 patients for whom data were available, an additional 29 patients were excluded from analysis for BPH retreatment or protocol deviations. For the 79 (56%) of the 140 subjects included in the analysis, change in IPSS score was -8.8 (precision not given) or -41% (95% CI, -49% to -33%; p<0.001). Significant improvements (vs. baseline) were also reported for scores relating to the quality of life, BPH-II, and Qmax. Authors reported that 14% "of the 140 originally enrolled" participants had surgical retreatment at some point during the 4 years; however, the 4-year follow-up included 79 patients, so the denominator for the 14% is not clear, and estimated retreatment rates are likely underestimated since individuals lost to follow-up could also have received retreatment. Attributes of patients who received retreatment were not analyzed. SHIM scores did not differ statistically from baseline.

Roehrborn et al (2017) reported on 5-year results from patients randomized to PUL in the LIFT study.<sup>38</sup> The authors reported two analyses. The first was called a per-protocol analysis, which censored patients who had additional BPH procedures, started a BPH medication or had a protocol deviation. A second analysis was called intention-to-treat analysis, which used last observation carried forward to impute values that were censored in the per-protocol analysis. While there were 104 participants with 5-year data, only 72 patients (approximately 50% of those randomized) were included in the per-protocol analysis after exclusion for protocol violations, additional BPH procedures, or treatment with BPH medication. In the intention-to-treat analysis, change in IPSS was -7.85 at 5 years (-35%; 95% CI, -41% to -29%; p<0.001). In the per-protocol analysis, change in IPSS was -7.56 at 5 years (-35.9%; 95% CI, -44% to - 27%). Significant improvements, compared with baseline, continued to be reported for scores associated with quality of life, Qmax, and BPH-II. Of the limited number of patients that remained in the analysis, 13.6% had surgical reintervention by 5 years.

# Section Summary: Randomized Controlled Trials

The BPH6 study demonstrated that PUL is noninferior to TURP when assessed by a composite score, which reflects concurrent improvements in validated scales of symptoms, safety, and sexual function. These findings are reflected in the analysis of the individual aspects of the composite score. PUL demonstrates measurable improvements in urinary symptoms to two years and is superior to TURP in preserving sexual function. These findings were confirmed in the LIFT study, which compared PUL with a sham treatment. Prior to crossover at three months, patients were found to have greater improvement in urinary symptoms and preserved sexual function relative to patients receiving sham treatment. After 3 months, 80% of patients who had received a sham treatment chose to have the PUL procedure. Patients treated with PUL had improvement of urinary symptoms with preservation of sexual function, consistent with the BPH6 study. These findings were preserved in a subset of patients over three to five years; a high number of patients were either excluded or lost to follow-up during this time. The BPH6 and LIFT RCTs excluded men with median lobe obstruction.

## **Noncomparative Studies**

The approved indications for PUL have expanded since the original approval to include men with median lobe obstruction and those with prostate volume between 80cc and 100cc. Neither of these expansions have supporting RCTs.

## **Median Lobe Obstruction**

Several noncomparative studies were published including men without median lobe obstruction. Since RCTs with long-term follow-up exist for this population, these noncomparative studies will not be discussed in further detail. Rukstalis et al (2019) reported results of the MedLift study, the study used to support the expansion of the Food and Drug Administration clearance for PUL to include obstructive median lobes.<sup>39</sup> MedLift was a single-arm study enrolling 45 men with eligibility criteria identical to LIFT except requiring obstructive median lobes. Results in the MedLift cohort were compared to the LIFT historical cohort. Characteristics are shown in Table 8 and results are shown in Table 9. One patient required surgical retreatment and no implants were removed over the 12 months of follow-up.

Study	Country	Sites	Participants	Treatment Delivery	Follow-up
Rukstalis (2019)	US	9	n=45 Men ages 50+ with IPSS>13, Qmax <=12 mL/s, 30 to 80 cc intraurethral prostatic volume and, OMLa	UroLift PUL procedure with median lobe deployment	12 months

#### Table 8. Summary of Characteristics of Key Non-Comparative Studies

<sup>a</sup>OML (Obstructive Median Lobe) was defined as excessive posterior tissue that precludes a normal lateral lobe procedure.

#### Table 9. Summary Results of Key Non-Comparative Studies

Study	IPSS	IPSS QOL	Qmax	SHIM
Rukstalis (2019)	At 12 m	At 12 m	At 12 m	At 12 m
N	44	44	37	38
Change from baseline, mean (SD);	-13.5 (7.7)	-3.0 (1.5)	6.4 (7.4)	1.2 (4.3)
p-value	p<0.001	p<0.001	p<0.001	p=0.04

CI: 95% confidence interval; IPSS: International Prostate Symptom Score; Qmax: mean peak urinary flow rate; QOL: quality of life; SHIM: Sexual Health Inventory for Men; SD: standard deviation.

The purpose of the limitation tables (see Tables 10 and 11) is to display notable limitations identified in each study. This information is synthesized as a summary of the body of evidence following each table and provides the conclusions on the sufficiency of the evidence supporting the position statement.

#### Table 10. Relevance Limitations

Study	Population <sup>a</sup>	Intervention <sup>b</sup>	<b>Comparator</b> <sup>c</sup>	Outcomes <sup>d</sup>	Follow-Up <sup>e</sup>
Rukstalis (2019)	3. Unclear history of BPH treatments		2: No concurrent comparator	3: Reporting of adverse events was qualitative; rates not reported	1, 2: Only 12 m of follow-up reported

The study limitations stated in this table are those notable in the current review; this is not a comprehensive limitations assessment. BPH: benign prostatic hypertrophy.

<sup>a</sup> Population key: 1. Intended use population unclear; 2. Clinical context is unclear; 3. Study population is unclear; 4. Study population not representative of intended use.

<sup>b</sup> Intervention key: 1. Not clearly defined; 2. Version used unclear; 3. Delivery not similar intensity as comparator; 4.Not the intervention of interest.

<sup>c</sup> Comparator key: 1. Not clearly defined; 2. Not standard or optimal; 3. Delivery not similar intensity as intervention; 4. Not delivered effectively.

<sup>d</sup> Outcomes key: 1. Key health outcomes not addressed; 2. Physiologic measures, not validated surrogates; 3. No CONSORT reporting of harms; 4. Not establish and validated measurements; 5. Clinical significant difference not prespecified; 6. Clinical significant difference not supported.

<sup>e</sup> Follow-Up key: 1. Not sufficient duration for benefit; 2. Not sufficient duration for harms.

#### Table 11. Study Design and Conduct Limitations

Study	Allocation <sup>a</sup>	Blinding <sup>b</sup>	Selective Reporting <sup>c</sup>	Data Completeness <sup>d</sup>	Power <sup>e</sup>	Statistical <sup>f</sup>
Rukstalis (2019)	1,2: Not randomized	1,2: No blinding		>15% missing data for Qmax and SHIM		3: CIs not reported

The study limitations stated in this table are those notable in the current review; this is not a comprehensive limitations assessment.

CI: confidence interval; Qmax: mean peak urinary flow; SHIM: Sexual Health Inventory for Men.

<sup>a</sup> Allocation key: 1. Participants not randomly allocated; 2. Allocation not concealed; 3. Allocation concealment unclear; 4. Inadequate control for selection bias.

<sup>b</sup>Blinding key: 1. Not blinded to treatment assignment; 2. Not blinded outcome assessment; 3. Outcome assessed by treating physician.

<sup>c</sup> Selective Reporting key: 1. Not registered; 2. Evidence of selective reporting; 3. Evidence of selective publication.

<sup>d</sup> Data Completeness key: 1. High loss to follow-up or missing data; 2. Inadequate handling of missing data; 3. High number of crossovers; 4.

Inadequate handling of crossovers; 5. Inappropriate exclusions; 6. Not intent to treat analysis (per protocol for noninferiority trials). <sup>e</sup> Power key: 1. Power calculations not reported; 2. Power not calculated for primary outcome; 3. Power not based on clinically important

difference.

<sup>f</sup> Statistical key: 1. Analysis is not appropriate for outcome type: (a) continuous; (b) binary; (c) time to event; 2. Analysis is not appropriate for multiple observations per patient; 3. Confidence intervals and/or p values not reported; 4. Comparative treatment effects not calculated.

#### Prostate Volume Greater Than 80 mL

Sievert et al (2019) reported results of a noncomparative study that included 5 men with prostate volume greater than 80 mL<sup>33</sup> Results were not presented stratified by prostate volume.

Eure (2019)<sup>41</sup> included 38 men with prostate volume >80 mL. Although the authors reported that 'no significant differences in symptom response emerged based on prostate volume', results were not presented stratified by prostate volume.

Bozkurt (2016)<sup>29</sup> Woo (2012)<sup>20</sup> and Chin (2012)<sup>19</sup> included men with prostate volume greater than 80 mL but had mean volume in the 40 to 60 range and it is unclear how many patients had volume greater than 80.

Given the limited amount of published data on outcomes for men with prostate volume greater than 80 mL and limited follow-up, the risks and benefits cannot be evaluated.

# Section Summary: Noncomparative Studies

One single-arm study (n=45) including men with obstructive median lobes has been conducted and was used to support the Food and Drug Administration expansion of the PUL indication to include these men. Symptom scores and QOL appeared to improve by statistically and clinically significant amounts and were similar in magnitude to improvements reported in the original LIFT study. Rates of adverse events were not reported. Design and conduct limitations preclude interpretation.

Noncomparative studies have included a small number of men with larger prostate volume but have generally not reported results stratified by prostate volume. One study presented data for 20 men with less than 6 months of follow-up.

## **Repeat Prostatic Urethral Lift**

#### **Review of Evidence**

Clinical data are limited regarding PUL reintervention/retreatment and investigators continue to emphasize the need for consensus definitions of these outcomes in future studies.<sup>42,43</sup> The majority of data concerning lower urinary tract symptoms/BPH define retreatment, reintervention, or treatment failure in an individualized manner with considerable variation across trials. Studies assessing the need for additional surgical procedures (for implant misplacement, malfunction, encrustation, infection, or lack of continued efficacy), failure to remove or wean off BPH medications, or the initiation of new BPH medications after the initial intervention have all been evaluated.<sup>44</sup> There is no consensus definition of retreatment/reintervention in this setting or regulatory guidance. Additionally, data on factors that may identify patients at high risk for retreatment/reintervention such as measures of patient symptoms, prostate specific antigen levels, or prostatic volumes are often absent in the reporting.

Retreatment rates in the long-term follow-up of the LIFT study were reviewed in the Follow-Up of PUL-Assigned Participants section of this evidence review. Of the limited number of patients that remained in the analysis, 13.6% had a surgical reintervention by 5 years.<sup>38,</sup>

#### Systematic review

Miller et al (2020) reported results of a systematic review and meta-analysis on the surgical reintervention rate of PUL utilizing a life table method.<sup>45</sup> Randomized or nonrandomized controlled studies and prospective and retrospective observational studies published through January 2020 were eligible for inclusion. Eleven studies (9 observational, 2 RCTs) were included with a total of 2016 patients. There were 153 surgical reinterventions performed (TURP, 51.0%; repeat PUL, 32.7%, device explant, 19.6%). Per the authors, the annual rate of surgical reintervention was 6.0% per year (95% CI, 3.0% to 8.9%): 4.3% per year in studies with  $\leq$ 1 year mean follow-up, 10.7% per year in studies with >1 year to 3 years mean follow-up, and 5.8% per year in 1 study with >3 years mean follow-up. No information was provided on the success of the reinterventions.

# **Observational Studies**

Gaffney et al (2021) performed a retrospective healthcare system database analysis of inpatient and ambulatory endoscopic procedures for BPH, identifying 175,150 men treated between 2000 and 2018.<sup>46</sup>. More than half were treated with TURP, compared to 27% with prostate photovaporization and 10% with PUL. Readmission rates at 30 days were 2.2% for TURP, 2.1% for prostate photovaporization, and 1.2% for PUL (odds ratio [OR], 0.58; p <.01). Ninety-day readmission rates were 5.7% for TURP, 6.0% for prostate photovaporization, and 2.9% for PUL (OR, 0.55; p<.01). However, patients treated with PUL were almost twice as likely to be retreated at 2-year follow-up compared to those receiving TURP (OR, 1.78; p<.01). Retreatment rates at 2-years were 5.2% for PUL, 3.2% for prostate photovaporization, and 2.9% for TURP.

Page et al (2021) identified a retrospective observational cohort (N=2942 UroLift procedures from 2942 patients) and reported on care setting real world experience outcomes of PUL procedures conducted in hospitals across England.<sup>47</sup> During follow-up, 206 patients required retreatment with 57 patients (4.2%) requiring further UroLift intervention and 158 patients (5.4%) requiring endoscopic interventions (Table 12). Subsequent UroLift treatment at 1 and 2 years was 1.5% (95% CI, 1.0 to 2.0) and 3% (95% CI, 2.1 to 3.8), respectively, while subsequent endoscopic treatment (no UroLift) was 3.9% (95% CI, 3.0 to 4.7) and 9.5% (95% CI, 7.9 to 10.1). The overall retreatment rate at 1 and 2 years was 5.2% (95% CI, 4.2 to 6.1) and 11.9% (95% CI, 10.1 to 13.6), respectively.

Eure et al (2019) completed a retrospective chart review and analysis of 1413 patients who underwent a PUL procedure in North America and Australia.<sup>41,</sup> In this study, 72 patients underwent either a PUL retreatment (n=39) or an alternative surgical intervention (17 laser procedures; 16 TURPs), 11 of which included implant removal.

# Section Summary: Repeat Prostatic Urethral Lift

Clinical data on repeat PUL are limited and there is no consensus on definitions of clinically meaningful types of retreatment or reintervention and their associated outcomes. The 5 year surgical reintervention rate in the LIFT study was reported as 13.6% while a meta-analysis calculated a surgical reintervention rate following PUL at 6% per year. One analysis of clinical care setting real world experience reported the overall retreatment rate at 1 and 2 years to be 5.2% (95% CI, 4.2 to 6.1) and 11.9% (95% CI, 10.1 to 13.6), respectively, following an initial PUL. A retrospective healthcare system database analysis of endoscopic procedures for BPH (N=175,150) found that patients treated with PUL were almost twice as likely to be retreated at 2-year follow-up compared to those receiving TURP (OR, 1.78; p<.01).

# SUMMARY OF EVIDENCE

For individuals who have lower urinary tract obstruction symptoms due to BPH and receive a PUL, the evidence includes systematic reviews, randomized controlled trials, and noncomparative studies. Relevant outcomes are symptoms, functional outcomes, health status measures, quality of life, and treatment-related morbidity. One randomized controlled trial, the BPH6 study, compared the PUL procedure with transurethral resection of the prostate and reported that the PUL procedure was noninferior for the study's composite endpoint, which required concurrent fulfillment of six independently validated measures of symptoms, safety, and sexual health. While transurethral resection of the prostate was superior to PUL in managing lower urinary tract symptoms, PUL did provide significant symptom improvement over two years. PUL was further superior to transurethral resection of the prostate in preserving sexual function. These findings were corroborated by another randomized

controlled trial (the LIFT study), which compared PUL with sham control. Patients underwent washout of BPH medications before enrollment. LIFT reported that patients with the PUL procedure, compared with patients who had sham surgery and no BPH medication, had greater improvements in lower urinary tract symptoms without worsened sexual function at three months. After 3 months, patients were given the option to have PUL surgery; 80% of the patients with sham procedures chose that option. Publications from this trial reported that functional improvements were durable over 3-, 4-, and 5-year follow-ups in a subset of patients treated with PUL; there was a high number of exclusions and loss to follow-up in that group. The BPH6 and LIFT RCTs included men with prostate volume up to 80 cm<sup>3</sup> and excluded men with median lobe obstruction. Selection criteria of patients for whom evidence is sufficient to support improvement are derived from clinical trial eligibility criteria, product labeling, and clinical input. The evidence is sufficient to determine the effects of the technology on health outcomes.

For individuals who have lower urinary tract obstruction symptoms due to BPH who have had a prior PUL procedure who are treated with a repeat PUL, the evidence includes long-term follow-up data from the LIFT study, a systematic review, and reports on care setting real world experience. Relevant outcomes are symptoms, functional outcomes, health status measures, quality of life, and treatment-related morbidity. Clinical data on the occurrence of repeat PUL, and consensus on clinically relevant definitions of retreatment/reintervention and subsequent outcomes are lacking. The 5 year surgical reintervention rate in the LIFT study was reported as 13.6%, while a meta-analysis concluded that the surgical reintervention rate following PUL is 6% per year. An analysis of clinical care setting real world experience reported the overall retreatment rate at 1 and 2 years to be 5.2% (95% CI, 4.2 to 6.1) and 11.9% (95% CI, 10.1 to 13.6), respectively, following an initial PUL.

# **Ongoing and Unpublished Clinical Trials**

A search of ClinicalTrials.gov did not identify any ongoing or unpublished trials that would likely influence this review.

# SUPPLEMENTAL INFORMATION

# CLINICAL INPUT FROM PHYSICIAN SPECIALTY SOCIETIES AND ACADEMIC MEDICAL CENTERS

While the various physician specialty societies and academic medical centers may collaborate with and make recommendations during this process, through the provision of appropriate reviewers, input received does not represent an endorsement or position statement by the physician specialty societies or academic medical centers, unless otherwise noted.

# 2017

Clinical input was sought to help determine whether the use of PUL for individuals with lower urinary tract obstruction symptoms due to BPH who do not have sufficient response to medical therapy or are experiencing significant side effects with medical therapy would provide a clinically meaningful improvement in net health outcome and whether the use is consistent with generally accepted medical practice. In response to requests, while this policy was under review in 2017, clinical input on the use of a prostatic urethral lift for 3 indications were received from 4 respondents, including 2 physician-level responses identified through a specialty society and 2 physician-level responses identified through an academic medical center. See Appendices 1 and 2 for details of the clinical input.

# PRACTICE GUIDELINES AND POSITION STATEMENTS

# American Urological Association

The American Urological Association (2018) published guidelines on the surgical management of LUTS attributed to BPH; the 2018 guidelines were most recently amended in 2021.<sup>7</sup> The guidelines made the following recommendations and statements regarding PUL.

• "PUL [prostatic urethral lift] may be offered as an option for patients with LUTS [lower urinary tract symptoms] BPH [benign prostatic hyperplasia] provided prostate volume 30-80g and verified absence of an obstructive middle lobe."

<sup>\*</sup>Moderate Recommendation; Evidence Level: Grade C indicating "Benefits > Risks/Burdens (or vice versa); Net benefit (or net harm) appears moderate. Applies to most patients in most circumstances but better evidence is likely to change confidence"

- "PUL may be offered as a treatment option to eligible patients concerned with erectile and ejaculatory function for the treatment of with LUTS attributed to BPH."
  - "Conditional Recommendation; Evidence Level: Grade C indicating "Risks/Burdens unclear; Alternative strategies may be equally reasonable. Better evidence likely to change confidence"
- "Clinicians should inform patients of the possibility of treatment failure and the need for additional or secondary treatments when considering surgical and minimally-invasive treatments for LUTS secondary to BPH."
- Surgery is recommended for patients who have renal insufficiency secondary to BPH, refractory urinary retention secondary to BPH, recurrent urinary tract infections (UTIs), recurrent bladder stones or gross hematuria due to BPH, and/or with LUTS/BPH refractory to or unwilling to use other therapies."

# National Institute for Health and Care Excellence (NICE)<sup>46</sup>

The National Institute for Health and Care Excellence (2014) published guidance on urethral lift implants to treat lower urinary tract symptoms (LUTS) secondary to benign prostatic hyperplasia (BPH). The guidance stated:<sup>48</sup>

"Current evidence on the efficacy and safety of insertion of prostatic urethral lift implants to treat lower urinary tract symptoms secondary to benign prostatic hyperplasia is adequate to support the use of this procedure."

In 2021, the National Institute for Health and Care Excellence published updated guidance on the use of UroLift for treating LUTS of BPH.<sup>49</sup> The guidance stated: "the UroLift system relieves lower urinary tract symptoms, avoids risk to sexual function, and improves quality of life and "the UroLift system should be considered as an alternative to transurethral resection of the prostate (TURP) and holmium laser enucleation of the prostate (HoLEP). It can be done as a day-case or outpatient procedures for people aged 50 and older with a prostate volume between 30 and 80 mL."

## **Government Regulations** National/Local:

There is no national coverage determination on this topic. Medicare has established a fee for codes 52441 and 52442.

4/14/15-It has been confirmed by WPS staff that 52441 and 52442 are payable if the services are medically necessary and documented as such by the provider in the medical record.

(The above Medicare information is current as of the review date for this policy. However, the coverage issues and policies maintained by the Centers for Medicare & Medicare Services [CMS, formerly HCFA] are updated and/or revised periodically. Therefore, the most current information may not be contained in this document. For the most current information, the reader should contact an official Medicare source.)

# **Related Policies**

N/A

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The articles reviewed in this research include those obtained in an Internet based literature search for relevant medical references through June 2023, the date the research was completed.

# Joint BCBSM/BCN Medical Policy History

Policy Effective Date	BCBSM Signature Date	BCN Signature Date	Comments
9/1/15	6/16/15	7/16/15	Joint policy established
9/1/16	6/21/16	6/21/16	Routine policy maintenance. Added Hayes rating. Added BCBSA policy information.
5/1/17	2/21/17	2/21/17	Routine policy maintenance. Added the word "general" to clarify anesthesia on pg 5. Added article to references
3/1/18	12/12/17	12/12/17	Rationale updated, added references 18-20. Removed reference to patient not being a surgical candidate.
3/1/19	12/11/18		Rationale reorganized, added reference 37 and 38. Added clinical input.
3/1/20	12/17/19		Rationale updated and reformatted. Reference # 6, 14, 32, and 37. No change in policy status.
1/1/21	10/20/20		Routine policy maintenance, added references 30, 32, 35 and 40. Added information on UroLift to the background section. No change in policy status. 1/6/21: Code C9769 removed as it does not apply to this policy.
5/1/21	2/16/21		Section on Urolift was added to the background section. Code 0619T removed from policy as it does not apply. No change in policy status.
5/1/22	2/15/22		Updated rationale, added references 41-45. No change in policy status.
11/1/22	8/16/22		Routine policy maintenance, discussed information sent by NeoTract on medical therapies.
11/1/23	8/15/23		Updated rationale section, added references 36 and 46. Vendor managed: N/A (ds)

Next Review Date: 1<sup>st</sup> Qtr. 2024

# BLUE CARE NETWORK BENEFIT COVERAGE POLICY: PROSTATIC URETHRAL LIFT PROCEDURE FOR THE TREATMENT OF BPH

# I. Coverage Determination:

Commercial HMO (includes Self-Funded groups unless otherwise specified)	Covered; criteria apply
BCNA (Medicare	See government section
Advantage)	
BCN65 (Medicare	Coinsurance covered if primary Medicare covers the
Complementary)	service.

# II. Administrative Guidelines:

N/A